

PRIVACY POLICY NOTICE

HamptonRock Partners, LLC (“HRP” or “the Firm”) is committed to protecting the privacy and security of the nonpublic personal information we obtain with respect to our clients. This Privacy Policy outlines HRP’s management of your personal data.

WHAT INFORMATION WE COLLECT

HRP may collect information you voluntarily provide to us in the course of our business arrangement with you and/or your organization. We do not sell your personal data to any third party.

If you visit our website, we may also collect information through cookies or similar technologies to understand website activity and content viewed.

WHAT INFORMATION WE DISCLOSE

We do not disclose any non-public or sensitive customer information about our clients or former clients to anyone, except as required to complete transactions or deals, or as permitted or required by law or court subpoena. We will not release such information unless one of the following conditions is met:

- We receive your prior written consent
- We believe the recipient to be you or your authorized representative; or
- We are required by law or regulation to release information.

We only use information about you and your account to help us better serve your investment needs or to suggest services or educational materials that may be of interest to you.

CONFIDENTIALITY, SECURITY, AND INCIDENT RESPONSE

To protect your privacy, our website and internal systems use security programs and devices consistent with current business practices, including encryption, authentication, and restricted-access controls. We maintain written policies and procedures designed to detect, respond to, and recover from unauthorized access to or use of customer information. If an incident occurs involving unauthorized access to or use of your sensitive customer information, the Firm will notify affected individuals as soon as practicable, but no later than 30 days after becoming aware of the incident, unless delayed as permitted by law or regulatory authority.

We also maintain oversight of our service providers. Each third-party vendor with access to customer information is required to notify the Firm within 72 hours of becoming aware of any security breach involving systems or data maintained on our behalf.

We restrict access to non-public information and financial data to authorized HamptonRock Partner representatives who have a need for these records to perform their duties, and maintain physical, electronic, and procedural safeguards to protect this information.

April 2026

Your Right to Opt Out of Information Sharing

Federal law gives you the right to limit only:

- Sharing with companies that are not part of our corporate family (nonaffiliated third parties) for their everyday business purposes
- Companies that are not part of our corporate family from using your information to market to you
- Sharing with affiliates using your information to market to you

Federal law does not give you the right to limit:

- Sharing for our everyday business purposes — such as processing your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus
 - Sharing for our affiliates' everyday business purposes — information about your transactions and experiences
 - Sharing for our affiliates to market to you
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To Opt Out, please contact us using any of the contact information noted below.

CHANGES TO OUR PRIVACY POLICY

This policy may be updated periodically, with future changes affecting only the policies, procedures, and data that follow the updates. Please check here periodically for revisions.

If you have questions or concerns regarding our Privacy Policy, please contact us because your privacy and the confidentiality of your data are very important to us.

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April 2026